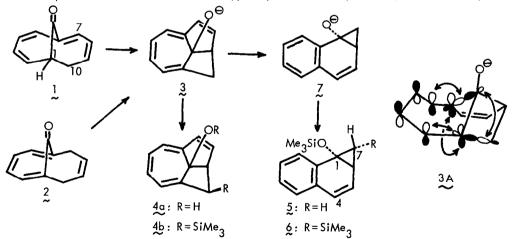
EFFECT OF TEMPERATURE AND COUNTER ION ON THE SKELETAL REARRANGEMENT OF A DIHYDRO[10]ANNULEN-11-ONE

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Abstract: Base-catalyzed rearrangement of bicyclo[4.4.1]undeca-2,4,6,8-tetraen-11-one under aprotic conditions revealed a novel anion-induced thermal process to a tricyclic cyclopropyl alcohol.

In our previous papers on the base-catalyzed reaction of bicyclo[4.4.1]undeca-2,4,6,8-tetraen-11-one (1), we have reported the formation of dehydro[4](2,7)troponophane (2) under protic conditions 1) and of the tricyclic alkoxide 3 under aprotic conditions 2). By raising reaction temperature, however, we have found that 3 underwent a novel symmetry controlled skeletal rearrangement. The effect of alkali metal ion on the rearrangement has also been observed. The result is described herein.

The formation of the ion 3 (\sim 93% yield) from 1 with LDA (3 eq.) in THF at -78° C was established by the spectroscopic detection (in solution) of unstable 4a, the corresponding alcohol, and by the isolation of the silyl derivative 4b after trimethylsilylation²). When the reaction mixture was brought to room temperature for 30 min. and then trapped by chlorotrimethylsilane (TMSCI), a complex mixture



of the products was obtained with no indication of the presence of either 2 or 4b. The only product isolated was the cyclopropanol derivative 5 (13% yield), colorless oil, whose structure was deduced from its spectra; m/e: 230 (M, b), 215, 200, 185, 157, 141; λmax : 221 (log ϵ 4.24), 237sh (4.12), 245 sh (3.92), 272 nm (3.93); v^{oil}: 3025, 2950, 1480, 1455, 1417, 1341, 1245, 1217, 1070, 979, 920, 840, 778 cm $^{-1}$; δ_{H}^{CDC13} : 7.76 (1H, dd, J=7.5, 1.8), 7.35 (1H, ddd, J=7.9, 7.5, 2.1), 7.25 (1H, td, J=7.9, 1.8), 7.21 (1H, dd, J=7.9, 2.1), 6.33 (1H, dd, J=8.0, 3.1), 6.31 (1H, dd, J=8.0, 3.1), 2.19 (1H, ddt, J=10.8, 5.6, 3.1), 1.78 (1H, dd, J=10.8, 4.8), 0.03 (9H, s), -0.22 (1H, dd, J= 5.6, 4.8); δ^{CDC1}3: 0.81 (q), 16.4 (dd), 27.0 (d), 57.5 (s), 123.6 (d), 125.7 (d), 125.9 (d), 126.7 (d), 127.2 (d), 128.0 (d), 129.3 (s), 136.9 (s). When KDA (2.5 eq) was used in place of LDA, 5 was obtained in 47% yield after 4 min. at -78°C, along with 2% of 4b. By raising the reaction temperature (-20°C, 4 min.), the yield of 5 improved to 77%³. That potassium ion is responsible for the increase of the yield was demonstrated also by the use of KOtBu (2.5 eq); the yield was 64% at -78°C and 77% at $-20^{\circ}C^{4}$). These experiments clearly indicated 1) the intervention of two discrete anionic species 3 and $\frac{7}{2}$ in the basic solution, 2) a thermal rearrangement of $\frac{3}{2}$ to $\frac{7}{2}$, and that 3) the rate enhancement of the reaction by softer K+ compare with harder Li+, similar to the effect of metal ions in oxy-Cope and the related [3.3] sigmatropic rearrangements 5). The same anion 7 formed also from 2 with KOtBu (2.5 eq) at -78°C after 5 min., and was trapped as 5 in 60% yield. However, the reaction of 1-7, 10, 10-d₃ with KOtBu (2.5 eq) at -20°C, yielded 5-d₃ in which all deuterium atoms were located at 4 and 7 positions, eliminating the intermediacy of $\underline{2}$ in the reaction $\underline{1} \longrightarrow \underline{5}$. If the reaction involved $\frac{2}{3}$ as an intermediate, the deuterium at 10 β position of $\frac{1}{3}$ would have been lost in the product⁷.

The skeletal rearrangement $3 \rightarrow 7$ may be rationalized as a novel, thermally allowed, concerted 10 electron (HOMO-LUMO-LUMO three body interaction) process, orbital correlation of which can be depicted for example in 3A. The correlation is reminiscent of the vinylogous di- π -methane rearrangement. The driving force for such a skeletal rearrangement would be the short distance of two 6π termini (2.385 Å for $3b^2$) and the strained σ -framework in 3, and the aromatization in the ion 7.

Thus, thermal reaction of an anion was shown to take a completely different course from that of neutral species of the same carbon skeleton.

References and Notes

- 1) Y. Fujise, T. Shiokawa, Y. Mazaki, Y. Fukazawa, M. Fujii and S. Itô, <u>Tetrahedron Letters</u>, <u>23</u>, 1601 (1982).
- 2) Y. Fujise, T. Morishima, K. Namiwa, T. Shiokawa, Y. Fukazawa and S. Itô, ibid., 24, 4261 (1983).
- 3) When a large excess (10 eq) of KDA was used (4 min., -20°C), bis-silylated product 6 was obtained (30%) together with 5 (8%) after trapping with TMSCI.
- 4) During work-up, which innevitably employs acid treatment or SiO₂ chromatography, 5 sometimes undergoes ring opening to a mixture of double bond isomers of benzo[b]cycloheptadienone.
- 5) S.R. Wilson and D.T. Mao, <u>Tetrahedron Letters</u>, 2559 (1977). D.A. Evans and D.J. Baillargeon, <u>ibid.</u>, 3319 (1978).
- 6) T. Sato and S. Itô, ibid., 1051 (1979).
- 7) In the equilibrating conditions (KOtBu, tBuOD/THF, -78°C), 1 gave 2-d₁, which contains a deuterium at 7β-position only.